

The LPSAT Engine & its Application to Resource Planning^{*†}

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Abstract

Compilation to boolean satisfiability has become a powerful paradigm for solving AI problems. However, domains that require metric reasoning cannot be compiled efficiently to SAT even if they would otherwise benefit from compilation. We address this problem by introducing the LCNF representation which combines propositional logic with metric constraints. We present LPSAT, an engine which solves LCNF problems by interleaving calls to an incremental simplex algorithm with systematic satisfaction methods. We describe a compiler which converts metric resource planning problems into LCNF for processing by LPSAT. The experimental section of the paper explores several optimizations to LPSAT, including learning from constraint failure and randomized cutoffs.

1 Introduction

Recent advances in satisfiability (SAT) solving technology have rendered large, previously intractable problems quickly solvable [Crawford and Auton, 1993; Selman *et al.*, 1996; Cook and Mitchell, 1997; Bayardo and Schrag, 1997; Li and Anbulagan, 1997; Gomes *et al.*, 1998]. SAT solving has become so successful that many other difficult tasks are being compiled into propositional form to be solved as SAT problems. For example, SAT-encoded solutions to graph coloring, planning, and circuit verification are among the fastest approaches to these problems [Kautz and Selman, 1996; Selman *et al.*, 1997].

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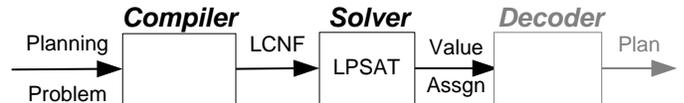


Figure 1: Data flow in the demonstration resource planning system; space precludes discussion of the grey components.

But many real-world tasks have a metric aspect. For instance, resource planning, temporal planning, scheduling, and analog circuit verification problems all require reasoning about real-valued quantities. Unfortunately, metric constraints are difficult to express in SAT encodings¹. Hence, a solver which could efficiently handle both metric constraints and propositional formulae would yield a powerful substrate for handling AI problems.

This paper introduces a new problem formulation, LCNF, which combines the expressive power of propositional logic with that of linear equalities and inequalities. We argue that LCNF provides an ideal target language into which a compiler might translate tasks that combine logical and metric reasoning. We also describe the LPSAT LCNF solver, a systematic satisfiability solver integrated with an incremental Simplex algorithm. As LPSAT explores the propositional search space it updates the set of metric requirements managed by the linear program solver; in turn, Simplex notifies the propositional solver if these requirements become unsatisfiable.

We report on three optimizations to LPSAT: learning and backjumping, adapting LPSAT's core heuristic to trigger variables, and using random restarts. The most effective of these is the combination of learning and backjumping; LPSAT learns new clauses by discovering explanations for failure when a branch of its search terminates. The resulting clauses guide backjumping and constrain future truth assignments. In particular, we show that analysis of the state of the linear program solver is crucial in order to learn effectively from constraint conflicts.

To demonstrate the utility of the LCNF approach, we also present a fully implemented compiler for resource

¹Encoding each value as a separate boolean variable is a simple but unwieldy solution; bitwise-encodings produce smaller formulae but ones which appear very hard to solve [Ernst *et al.*, 1997].

<i>MaxLoad</i> \Rightarrow (<i>load</i> \leq 30)	; Statements
<i>MaxFuel</i> \Rightarrow (<i>fuel</i> \leq 15)	; defining
<i>MinFuel</i> \Rightarrow (<i>fuel</i> \geq 7 + <i>load</i> / 2)	; triggered
<i>AllLoaded</i> \Rightarrow (<i>load</i> = 45)	; constraints
<hr/>	
<i>MaxLoad</i>	; Triggers for load and
<i>MaxFuel</i>	; fuel limits are unit
<i>Deliver</i>	; The goal is unit
\neg <i>Move</i> \vee <i>MinFuel</i>	; Moving requires fuel
\neg <i>Move</i> \vee <i>Deliver</i>	; Moving implies delivery
\neg <i>GoodTrip</i> \vee <i>Deliver</i>	; A good trip requires
\neg <i>GoodTrip</i> \vee <i>AllLoaded</i>	; a full delivery

Figure 2: Portion of a tiny LCNF logistics problem (greatly simplified from compiler output). A truck with load and fuel limits makes a delivery but is too small to carry all load available (the *AllLoaded* constraint). *Italicized* variables are boolean-valued; **typeface** are real.

planning problems. Figure 1 shows how the components fit together. Their performance is impressive: LPSAT solves large resource planning problems (encoded in a variant of the PDDL language [McDermott, 1998] based on the metric constructs used by metric IPP [Koehler, 1998]), including a metric version of the ATT Logistics domain [Kautz and Selman, 1996].

2 The LCNF Formalism

The LCNF representation combines a propositional logic formula with a set of metric constraints. The key to the encoding is the simple but expressive concept of triggers — each propositional variable may “trigger” a constraint; this constraint is then enforced whenever the *trigger variable’s* truth assignment is **true**.

Formally, an LCNF *problem* is a five-tuple $\langle \mathcal{R}, \mathcal{V}, \Delta, \Sigma, \mathcal{T} \rangle$ in which \mathcal{R} is a set of real-valued variables, \mathcal{V} is a set of propositional variables, Δ is a set of linear equality and inequality constraints over variables in \mathcal{R} , Σ is a propositional formula in CNF over variables in \mathcal{V} , and \mathcal{T} is a function from \mathcal{V} to Δ which establishes the constraint triggered by each propositional variable. We require that Δ contain a special **null** constraint which is vacuously true, and this is used as the \mathcal{T} -value for a variable in \mathcal{V} to denote that it triggers no constraint. Moreover, for each variable v we define $\mathcal{T}(\neg v) = \text{null}$.

Under this definition, an assignment to an LCNF problem is a mapping, φ , from the variables in \mathcal{R} to real values and from the variables in \mathcal{V} to truth values. Given an LCNF problem and an assignment, the *set of active constraints* is $\{c \in \Delta \mid \exists v \in \mathcal{V} \varphi(v) = \text{true} \wedge \mathcal{T}(v) = c\}$. We say that an assignment *satisfies* the LCNF problem if and only if it makes at least one literal true in each clause of Σ and satisfies the set of active constraints.

Figure 2 shows a fragment of a sample LCNF problem: a truck, which carries a maximum load of 30 and fuel level of 15, can make a *Delivery* by executing the *Move* action. We discuss later why it cannot have a *GoodTrip*.

3 The LPSAT Solver

Our first step in constructing the LPSAT engine was to choose solvers to use as the foundation for its metric and propositional solving portions. The choice was motivated by the following criteria:

1. It must be easy to modify the propositional solver in order to support triggers and handle reports of inconsistency from the constraint reasoner.
2. The metric solver must support incremental modifications to the constraint set.
3. Because a Simplex solve is more expensive than setting a single propositional variable’s value, the propositional solver should minimize modifications to the constraint set.

These principles led us to implement the LPSAT engine by modifying the RELSAT satisfiability engine [Bayardo and Schrag, 1997] and combining it with the CASSOWARY constraint solver [Borning *et al.*, 1997; Badros and Borning, 1998] using the method described in [Nelson and Oppen, 1979]. RELSAT makes an excellent start for processing LCNF for three reasons. First, it performs a systematic, depth-first search through the space of partial truth assignments; this minimizes changes to the set of active metric constraints. Second, the code is exceptionally well-structured. Third, RELSAT incorporates powerful learning and backjumping optimizations. CASSOWARY is an appropriate Simplex solver for handling LCNF because it was designed to support and quickly respond to small changes in its constraint set.

In order to build LPSAT, we modified RELSAT to include trigger variables and constraints. This required four changes. First, the solver must trigger constraints as the truth assignment changes. Second, the solver must now check for a solvable constraint set to ensure that a truth assignment is satisfying. Third, the solver must report in its solution not only a truth assignment to the boolean variables, but an assignment of real values to the constraint variables². Finally, since even a purely positive trigger variable may (if set to **true**) trigger an inconsistent constraint, pure literal elimination cannot act on *positive* trigger variables³. Figure 3 displays pseudocode for the resulting algorithm.

4 Incorporating Learning and Backjumping

LPSAT inherits methods for learning and backjumping from RELSAT [Bayardo and Schrag, 1997]. LPSAT’s

²While the assignment to the constraint variables is optimal according to CASSOWARY’s objective function, it is not guaranteed to be the globally optimal assignment to the real variables by the same measure; a different assignment to the propositional variables might provide a better solution. So, the specific function used is not vital (we use CASSOWARY’s default which minimizes the slack in inequalities).

³This restriction falls in line with the pure literal elimination rule if we consider the triggers themselves to be clauses. The trigger *MaxLoad* \Rightarrow (*load* \leq 30) from Figure 2 would then become the clause \neg *MaxLoad* \vee (*load* \leq 30), and *MaxLoad* could no longer be purely positive.

tion as a move from the initial solution at the upper left corner point to the solution at the upper right corner point of the shaded region. The error in the solution is the horizontal distance from the solution point to the new constraint *AllLoaded*. Since no further progress within the shaded region can be made toward *AllLoaded*, the error has been minimized; however, since the error is non-zero, the strict constraint is inconsistent.

At this point, LPSAT uses “marker variables” (which CASSOWARY adds to each original constraint) to establish the conflict set. A marker variable is a variable that was added by exactly one of the original constraints and thus identifies the constraint in any derived equations. LPSAT examines the derived equation that gives the error for the new constraint, and notes that each constraint with a marker variable in this equation contributes to keeping the error non-zero. Thus, all the constraints identified by this equation, plus the new constraint itself, compose a conflict set.

In Figure 5 the *MinFuel* and *MaxFuel* constraints restrain the solution point from coming closer to the *AllLoaded* line. If the entire active constraint set were any two of those three constraints, the intersection of the two constraints’ lines would be a valid solution; however, there is no valid solution with all three constraints.

Note that another conflict set (*AllLoaded* plus *MaxLoad*) exists with even smaller cardinality than the one we find. In general, there may be many minimal conflict sets, and our conflict discovery technique will discover only one of these, with no guarantee of discovering the global minimum. Some of these sets may prove to have better pruning action, but we know of no way to find the best minimal conflict set efficiently. However, the minimal conflict set *is* at least as good as (and usually better than) any of its supersets.

A brief proof that our technique will return a *minimal* conflict set appears in the LPSAT technical report [Wolfman and Weld, 1999].

5 The Resource Planning Application

In order to demonstrate LPSAT’s utility, we implemented a compiler for metric planning domains (starting from a base of IPP’s [Koehler *et al.*, 1997] and BLACK-BOX’s [Kautz and Selman, 1998] parsers) which translates resource planning problems into LCNF form. After LPSAT solves the LCNF problem, a small decoding unit maps the resulting boolean and real-valued assignments into a solution plan (Figure 1). We believe that this translate/solve/decode architecture is effective for a wide variety of problems.

5.1 Action Language

Our planning problems are specified in an extension of the PDDL language [McDermott, 1998]; we support PDDL typing, equality, quantified goals and effects, disjunctive preconditions, and conditional effects. In addition, we handle metric values with two new built-in types: *float* and *fluent*. A float’s value may not change over the course of a plan, whereas a fluent’s value may change from time step to time step. Moreover,

Action loop_a	Action loop_b
pre: test fluent1 = 0	pre: test fluent2 = 0
eff: set fluent2 = 1	eff: set fluent1 = 1

Figure 6: Two actions which can execute in parallel, but which cannot be serialized.

we support fluent- and float-valued functions, such as `?distance[Nagoya,Stockholm]`.

Floats and fluents are manipulated with three special built-in predicates: **test**, **set**, and **influence**. **Test** statements are used as predicates in action preconditions; **set** and **influence** are used in effects. As its argument, **test** takes a constraint (an equality or inequality between two expressions composed of floats, fluents, and basic arithmetic operations); it evaluates to true if and only if the constraint holds. **Set** and **influence** each take two arguments: the object (a float or fluent) and an expression. If an action causes a **set** to be asserted, the object’s value after the action is defined to be the expression’s value before the action. An asserted **influence** changes an object’s value by the value of the expression, as in the equation *object* := *object* + *expression*; multiple simultaneous **influences** are cumulative in their effect [Falkenhainer and Forbus, 1988].

5.2 Plan Encoding

The compiler uses a regular action representation with explanatory frame axioms and conflict exclusion [Ernst *et al.*, 1997]. We adopt a standard fluent model in which time takes nonnegative integer values. State-fluents occur at even-numbered times and actions at odd times. The initial state is completely specified at time zero, including all properties presumed false by the closed-world assumption.

Each **test**, **set**, and **influence** statement compiles to a propositional variable that triggers the associated constraint. Just as logical preconditions and effects are implied by their associated actions, the triggers for metric preconditions and effects are implied by their actions.

The compiler must generate frame axioms for constraint variables as well as for propositional variables, but the axiomatizations are very different. Explanatory frames are used for boolean variables, while for real variables, compilation proceeds in two steps. First, we create a constraint which, if activated, will set the value of the variable at the next step equal to its current value plus all the influences that might act on it (untriggered influences are set to zero). Next, we construct a clause which activates this constraint unless some action actually sets the variable’s value.

For a parallel encoding, the compiler must consider certain **set** and **influence** statements to be mutually exclusive. For simplicity, we adopt the following convention: two actions are mutually exclusive if and only if at least one **sets** a variable which the other either **influences** or **sets**.

This exclusivity policy results in a plan which is correct if actions at each step are executed strictly in parallel; however, the actions may not be serializable, as demonstrated in Figure 6. In order to make parallel

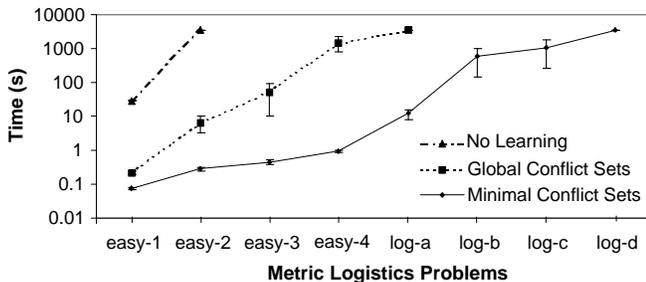


Figure 7: Solution times for three versions of LPSAT in the metric logistics domain. No learning or backjumping is performed in the line marked “No learning.” Global conflict sets and minimal conflict sets use progressively better learning algorithms. Note that the final point on each curve reaches the resource cutoff (one hour).

actions arbitrarily serializable, we would have to adopt more restrictive exclusivity conditions and a less expressive format for our `test` statements.

6 Experimental Results

There are currently few available metric planners with which to compare LPSAT. The ZENO system [Penberthy and Weld, 1994] is more expressive than our system, but ZENO is unable even to complete *easy-1*, our simplest metric logistics problem. There are only a few results available for Koehler’s metric IPP system [Koehler, 1998], and code is not yet available for direct comparisons.

In light of this, this section concentrates on displaying results for LPSAT in an interesting domain and on describing the heuristics and optimization we used to enhance LPSAT’s performance. We report LPSAT solve time, running on a Pentium II 450 MHz processor with 128 MB of RAM, averaged over 20 runs per problem, and showing 95 percent confidence intervals. We do not include compile time for the (unoptimized) compiler since the paper’s focus is the design and optimization of LPSAT; however, compile time can be substantial (*e.g.*, twenty minutes on *log-c*).

We report on a sequence of problems in the metric logistics domain, which includes all the features of the ATT logistics domain [Kautz and Selman, 1996]: airplanes and trucks moving packages among cities and sites within cities. However, our metric version adds fuel and distances between cities; airplanes and trucks both have individual maximum fuel capacities, consume fuel to move (the amount is per trip for trucks and based on distance between cities for airplanes), and can refuel at depots. *log-a* through *log-d* are the same as the ATT problems except for the addition of fuel. *easy-1* through *easy-4* are simplifications of *log-a* with more elements retained in the higher numbered problems. We report on highly successful experiments with learning and backjumping as well as two other interesting optimizations.

6.1 Learning and Backjumping

The results in Figure 7 demonstrate the improvement in solving times resulting from activating the learning

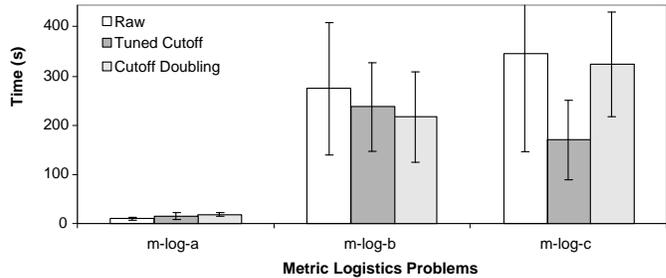


Figure 8: Solution times for two types of random restarts. Tuned cutoff uses raw experimental data to select a constant cutoff. Cutoff doubling starts with a cutoff of one second and doubles it on each run.

and backjumping facilities which were described in Section 4. Runs were cut off after one hour of solve time (the minimal conflict set technique ran over an hour only on *log-d*). Without learning or backjumping LPSAT quickly exceeds the maximum time allotted to it. With learning and backjumping activated using global conflict sets, the solver handles larger problems and runs faster. Our best method, minimal conflict sets, quickly solves even some of the harder problems in the metric logistics domain.

6.2 Splitting Heuristic

Line 7 of the LPSAT pseudocode (Figure 3) makes a non-deterministic choice of variable v before the recursive call, and the *splitting heuristic* used to guide this choice can bias performance. We expected the standard RELSAT heuristic to perform poorly (due to an overly strong preference for trigger variables) for two reasons: 1) the trigger itself is an implicit clause which is resolved when a trigger variable is set, and 2) each time the solver modifies a trigger variable, it may call CASSOWARY, and these calls often dominate runtime. We tried several methods of including information about the trigger variables in the splitting heuristic, including adding and multiplying the score of trigger variables by a user-settable preference value. To our surprise, however, we were unable to achieve significant improvement (although *increasing* the preference for trigger variables did slow execution). These results lead us to suspect that either that LCNF problems are generally insensitive to our heuristics or that our compilation of metric planning domains already encodes information about trigger variables in the structure of the problem. Further experiments will decide the issue.

6.3 Random Restarts

Because LPSAT uses a randomized backtracking algorithm and because early experimental results showed a small percentage of runs far exceeded the median runtime, we experimented with random restarts using a process similar to the one described in [Gomes *et al.*, 1998]. We cut off solving at a deadline — which can be either fixed beforehand or geometrically increasing — and restart the solver with a new random seed.

Figure 8 shows the results of these experiments. We first ran the algorithm twenty times on each problem

to produce the “Raw” entries⁴. Then, we calculated the cutoff time which minimized the expected runtime of the system based on these twenty runs. Finally, we reran the problems with this tuned cutoff time to produce the “Tuned Cutoff” data.

While this technique provides some speedup on *log-b* and impressive speedup on *log-c*, it requires substantial, preliminary research into the difficulty of the problem (in order to determine the appropriate cutoff time). Unless LPSAT is being used repeatedly to solve a single problem or several very similar problems, the process of finding good restart times will dominate overall runtime.

Therefore, we also experimented with a restart system which requires no prior analysis. This “Cutoff doubling” approach sets an initial restart limit of one second and increases that limit by a factor of two on each restart until reaching a solution. We have not yet performed any theoretical analysis of the effectiveness of this technique, but Figure 8 demonstrates a small improvement. More interesting than the average improvement, however, is the fact that this method improved the consistency of the runtimes on the harder problems; indeed, on *log-c* five of the twenty “Raw” runs lasted longer than the longest “Cutoff doubling” run.

7 Related Work

Limited space precludes a survey of propositional satisfiability algorithms and linear programming methods in this paper. See [Cook and Mitchell, 1997] for a survey of satisfiability and [Karloff, 1991] for a survey of linear programming.

Our work was inspired by the idea of compiling probabilistic planning problems to MAJSAT [Majercik and Littman, 1998]. It seemed that if one could extend the SAT “virtual machine” to support probabilistic reasoning, then it would be useful to consider the orthogonal extension to handle metric constraints.

Other researchers have combined logical and constraint reasoning, usually in the context of programming languages. CLPR may be thought of as an integration of Prolog and linear programming, and this work introduced the notion of incremental Simplex [Jaffar *et al.*, 1992]. Saraswat’s thesis [Saraswat, 1989] formulates a family of programming languages which operate through the incremental construction of a constraint framework. CHIP [Van Hentenryck, 1989] augments logic programming with the tools to efficiently solve constraint satisfaction problems (*e.g.*, consistency checking), but deals only with variables over finite domains. NUMERICA extends this work by adding a variety of differential equation solvers to the mix [Van Hentenryck, 1997]. Hooker *et al.* describe a technique for combining linear programming and constraint propagation [Hooker *et al.*, 1999].

BLACKBOX uses a translate/solve/decode scheme for planning and satisfiability [Kautz and Selman, 1998]. ZENO is a causal link temporal planner which handled resources by calling an incremental Simplex algorithm within the plan-refinement loop [Penberthy and Weld,

⁴All three sets of runs use minimal conflict sets, learning, and backjumping.

1994]. The GRAPHPLAN [Blum and Furst, 1995] descendant IPP has also been extended to handle metric reasoning in its plan graph [Koehler, 1998]. SIPE [Wilkins, 1990] and OPLAN [Currie and Tate, 1991] are industrial strength planners which include resource planning capabilities. Two recent systems address the metric planning problem using compilation to integer programming [Kautz and Walser, 1999; Vossen *et al.*, 1999].

8 Conclusions and Future Work

LPSAT is a promising new technique that combines the strengths of fast satisfiability methods with an incremental Simplex algorithm to efficiently handle problems involving both propositional and metric reasoning. This paper makes the following contributions:

- We defined the LCNF formalism for combining boolean satisfiability with linear (in)equalities.
- We implemented the LPSAT solver for LCNF by combining the RELSAT satisfiability solver [Bayardo and Schrag, 1997] with the CASSOWARY constraint reasoner [Badros and Borning, 1998].
- We experimented with three optimizations for LPSAT: adapting the splitting heuristic to trigger variables, adding random restarts, and incorporating learning and backjumping. Using *minimal conflict sets* to guide learning and backjumping provided four orders of magnitude speedup.
- We implemented a compiler for resource planning problems. LPSAT’s performance with this compiler was much better than that of ZENO [Penberthy and Weld, 1994].

Much remains to be done. There are many ways we could improve the compiler: improving its runtime by optimizing exclusion detection, exploring new exclusion encodings, optimizing the number of constraints used for influences, and improving our handling of conditional effects. In addition, we wish to investigate the issue of tuning restarts to problems, including a thorough investigation of exponentially growing resource limits. It would also be interesting to implement an LCNF solver based on a stochastic engine. We hope to add support for more expressive constraints by adding nonlinear solvers.

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